

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Enrique V. Barrera et al
Serial No: 10/576,134
Filing Date: July 28, 2004
Group Art Unit: N/Y/A
Confirmation No: 5500
Title: *Sidewall Functionalization of Carbon Nanotubes with
Organosilanes for Polymer Composites*

Mail Stop: Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C.
371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

In response to the Notification of Missing Requirement Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) dated March 12, 2007, enclosed are the following documents:

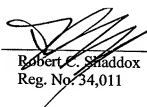
1. Declaration signed by each inventor;
2. A copy of the Notification of Missing Requirements;
3. Surcharge in the amount of \$65.00 to be charged to Deposit Account No. 23-2426; and
4. Commissioner is authorized to charge the three month extension of time to Deposit Account No. 23-2426.

Applicant does not believe that any other fees are due at this time. If this is incorrect, the Commissioner is hereby authorized to charge any fees which may be required by this paper to Deposit Account No. 23-2426 (referencing matter 11321-P074WOUS).

Respectfully submitted,

WINSTEAD PC

Date: July 13, 2007



Robert C. Shaddox
Reg. No. 34,011

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address COMMISSIONER FOR PATENTS
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Alexandria, Virginia 22315-1450
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|---|---|------------------------------------|
| U.S. APPLICATION NUMBER NO. 10/576,134 | FIRST NAMED APPLICANT ENRIQUE V. BARRERA | ATTY. DOCKET NO. 11321-P074WOUS |
| INTERNATIONAL APPLICATION NO. PCT/US04/24338 | | |
| I.A. FILING DATE 07/28/2004 | PRIORITY DATE 07/28/2003 | |

61060
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DALLAS, TX 75201

CONFIRMATION NO. 5500

371 FORMALITIES LETTER



OC000000022818393

Date Mailed: 03/12/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/17/2006
- Copy of the International Search Report filed on 04/17/2006
- Copy of IPE Report filed on 04/17/2006
- Preliminary Amendments filed on 04/17/2006
- Request for Immediate Examination filed on 04/17/2006
- U.S. Basic National Fees filed on 04/17/2006
- Specification filed on 04/17/2006
- Claims filed on 04/17/2006
- Abstracts filed on 04/17/2006
- Drawings filed on 04/17/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://portal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

PART 2 - OFFICE COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/576,134 | PCT/US04/24338 | 11321-P074WOUS |

FORM PCT/DO/EO/905 (371 Formalities Notice)